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JSC Rietumu Banka / Vesetas 7 / Rīga / LV-1013 / Latvija
UR No. 40003074497 / RTMBLV2X / REUTERS: RIET
Phone +371 67025555 / Fax +371 67025588
info@rietumu.lv / www.rietumu.com

Joint Stock Company “Rietumu Banka”
LIST
OF TRADING VENUES AND BUSINESS PARTNERS
FOR DEALS WITH FINANCIAL INSTRUMENTS

Riga 2012

I. List of trading venues:

JSC “Rietumu Banka” - a valid member of exchange with direct access to:
Nasdaq OMX Riga (Latvia).

JSC “Rietumu Banka” has access to the following exchanges and trading venues through broker intermediaries:

Stocks in the North American countries:

Toronto Stock Exchange (Canada);
New York Stock Exchange (USA);
NASDAQ (USA);
American Stock Exchange (AMEX) (USA);
Archipelago Exchange(USA);
OTC Bulletin Board (USA);
Chicago Board of Options (USA);
Chicago Mercantile Exchange (CME) (USA);
Chicago Board of Trade (CBOT) (USA);
New York Mercantile Exchange (NYMEX) (USA) and Commodity Exchange (COMEX) (USA);
Philadelphia Stock Exchange (USA);
Pacific Exchange (USA);
Boston Stock Exchange (USA);
Cincinnati Stock Exchange (USA);
US OTC MARKETS (OOTC & OTCBB) (USA).

Stocks in European countries:

Wiener Börse (Austria);
Euronext Brussels (Belgium);
Nasdaq OMX Copenhagen (Denmark);
Nasdaq OMX Finland (Finland);
Euronext Paris (CAC 40) (France);
Frankfurt Stock Exchange (Germany);
Athens Stock Exchange (General) (Greece);
Irish Stock Exchange (ISE) (Ireland);
Borsa Italiana (Italy);
Luxembourg Stock Exchange (BdL) (Luxembourg);
Euronext Amsterdam (AEX index) (Netherlands);
Oslo Stock Exchange (Norway);
RTS Stock Exchange (Russia);
NASDAQ OMX Stockholm (Sweden);
SWX Swiss Exchange (Switzerland);
London Stock Exchange (UK Main Market; International Main Market; AIM; Professional Securities Market; Specialist Fund Market (SFM)) (United Kingdom).

Stocks in Asian countries:

Australian Securities Exchange (ASX) (Australia);
Hong Kong Stock Exchange (China);
Tokyo Stock Exchange (Japan).

Certificates in investment funds:

Franklin Templeton International Services S.A (Luxembourg);
Raiffaizen Bank International AG (Austria);
BNP Paribas Luxembourg (Luxembourg);
Man Investments (Switzerland).

JSC “Rietumu Banka” may execute client orders by concluding transactions on its own account.

II. List of transaction partners for assessing order execution from clients:

- **with bonds:** Goldman Sachs International (London) (United Kingdom), Royal Bank of Scotland (United Kingdom), UBS AG (Switzerland), Bridport & CIE (Switzerland), Deutsche Bank AG (United Kingdom), Prometeo Investment Services (Switzerland), BrokerCreditService (Cyprus) Limited (Cyprus);
- **with capital securities:** Knight Capital Europe (United Kingdom), Pinnacle Capital Markets (USA), JPMorgan Securities Limited (United Kingdom), ABN AMRO CLEARING CHICAGO LLC (USA), BrokerCreditService (Cyprus) Limited (Cyprus);
- **with securities which certify participation in investment funds or equivalent investment structures:** Franklin Tempelton (Luxembourg), Raiffeisen Bank International AG (Austria), BNP Paribas Luxembourg (Luxembourg), Man Investments (Switzerland);
- **with investment gold:** Heraeus Metallhandelsgesellschaft mbH (Germany).

III. List of long standing transaction partners:

ABN AMRO CLEARING CHICAGO LLC (USA);
Alfa Bank (Moscow) (Russia);
Commerzbank AG (Germany);
Deutsche Bank (London) (United Kingdom);
Goldman Sachs International (London) (United Kingdom);
Knight Capital Europe (United Kingdom);
Mizuho International (United Kingdom);
Prometeo Investment Services (Switzerland);
Royal Bank of Scotland (United Kingdom);
TD Investments Limited (Cyprus);
BrokerCreditService (Cyprus) Limited (Cyprus);
JPMorgan Securities Ltd (United Kingdom);
UBS AG (Switzerland);
Bridport & CIE (Switzerland);
Pinnacle Capital Markets (USA).

IV. List of depositories

Latvian Central Depository (LCD) (Latvia);
Raiffeisen Bank International (Austria);
State Street Bank (USA);
OJSC Priorbank (Belarus);
JP Morgan Securities Limited (United Kingdom);
JP Morgan Chase Bank N.A. (USA/United Kingdom);
JP Morgan Bank Luxembourg S.A.(Luxembourg);
Clearstream Banking (Luxembourg);
Penson Financial Services (USA);
Euroclear Bank (Belgium);
ABN AMRO CLEARING CHICAGO LLC (USA);
BrokerCreditService (Cyprus).

The JSC Rietumu Banka List of Trading Venues and Business Partners for Deals with Financial Instruments is not final and client orders may be executed at alternative trading venues which do not contradict the JSC Rietumu Banka Client Order Execution Policy for Operations on Financial Markets.