RIETUMU

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STATUS ASSIGNMENT CONFIRMATION
(PROFESSIONAL CLIENT)

Date __/__/20__ **ATTENTION! Please complete this form in block letters.** **Approved by the Board of JSC "Rietumu Banka" on 27 April, 2012, Minutes No. 16**

Client _____
(full name)

Client's ID

Current Account

represented by _____
(name, surname)

Representative's ID

I hereby confirm that I have received my status assignment notification with the following appendices: "Status Identification", "An Excerpt from JSC "Rietumu Banka" Client Order Execution Policy for Operations on Financial Markets" and I accept the status of a professional client, which has been assigned to me, I also agree to be bound by the conditions of "An Excerpt from JSC "Rietumu Banka" Client Order Execution Policy for Operations on Financial Markets" and the following conditions:

- in order to ensure the best possible results when executing orders for transactions with financial instruments submitted by a Professional Client, the Bank will first of all consider the speed and precision of the execution of the order, the price will not be the primary factor in this case;
- when executing an order with Special Instructions the Bank is not obliged to immediately publish information about the deal in the market unless the Client has specifically informed the Bank of such a requirement;
- if the Client submits an order with Special Instructions, the Bank will act and execute the order in line with the Client's Special Instructions, in which case the Bank is released from the obligation to adhere to the principle of obtaining the best possible result for the Client;
- an order submitted by the Client may be executed both inside and outside Multilateral Trading Facilities, as well as inside and outside Regulated Markets;
- acting in line with the provisions of the "JSC "Rietumu Banka" Client Order Execution Policy for Operations on Financial Markets" the Bank is entitled to buy and sell financial instruments for the Client from or to the Bank's own portfolio, thus acting as a party to the respective deal.

In respect to the Professional Client the Bank is not obliged to:

- analyse whether the service suits the Client;
- inform the Client about difficulties in the execution of Client's order;
- provide the Client with detailed order execution policy;
- comply with strict requirements as it is required in respect to the Private Client regarding the reports and information about the Bank, services and their costs, financial instruments, custody;
- conduct other actions which the Bank is obliged to conduct in respect to the Private Client.

I hereby confirm that the conditions of this status assignment confirmation are known to me and I agree with them.

Client _____ _____
(represented by: name, surname) (signature, seal)

Rietumu ID **Test Key** _____

To be completed by the Bank:
I hereby confirm the identity, signature and the powers of the aforementioned person.

_____ _____
(name, surname) (signature)