

# CUSTOMER REGISTRATION CARD

PRIVATE ENTITY

\_\_\_\_/\_\_\_\_/20\_\_\_\_

Complete in BLOCK CAPITALS

Approved by Board of JSC "Rietumu Banka", Minutes No. 26, July 29, 2011

Name \_\_\_\_\_ Surname \_\_\_\_\_

Passport No./ ID card No. \_\_\_\_\_ ID No. \_\_\_\_\_  
(residents of Latvia only)

Residence permit in the Republic of Latvia:  Temporary  Permanent Residence permit valid until \_\_\_\_/\_\_\_\_/\_\_\_\_

Correspondence address \_\_\_\_\_  
(if differs from residential address)

Phone \_\_\_\_\_ E-mail \_\_\_\_\_

Fax Number \_\_\_\_\_ WWW \_\_\_\_\_

### Employment details (specify type of employment)

- Salaried employee
  - Commercial enterprise \_\_\_\_\_ (employer, position)
  - State institution \_\_\_\_\_ (employer, position)
  - Non-governmental organisation \_\_\_\_\_ (employer, position)
- Employer \_\_\_\_\_ (company name, capital share, number of salaried employees)
- Self-employed\* \_\_\_\_\_ (employment type)
- Other\* \_\_\_\_\_ (employment type)

Tax payer's code  No  Yes (specify) \_\_\_\_\_

Does the aforementioned employment type relate to Latvia?  No  Yes (explain) \_\_\_\_\_

### Please, specify sources of your funds:

- Salary
- Royalties / rewards
- Interest receivable and dividends
- Income received as a result of:  Capital share (stocks) sale  Private property sale  Inheritances / gifts
- Rent
- Other (specify in detail) \_\_\_\_\_

\* Please, fill in "Additional information" field by providing full details of your employment type, including requirement of license to undertake this type of employment

**Description of current account(s) transactions required**

	<b>Credit transactions (+)</b>	<b>Debit transactions (-)</b>
Payment types	<input type="checkbox"/> Salary <input type="checkbox"/> Royalties / rewards <input type="checkbox"/> Loans <input type="checkbox"/> Interest receivable and dividends <input type="checkbox"/> Other (specify) _____ _____	<input type="checkbox"/> Payments for goods and services <input type="checkbox"/> Investments <input type="checkbox"/> Loans <input type="checkbox"/> State bills <input type="checkbox"/> Utility bills <input type="checkbox"/> Other (specify) _____ _____
Transaction currencies	<input type="checkbox"/> LVL <input type="checkbox"/> USD <input type="checkbox"/> EUR <input type="checkbox"/> RUR <input type="checkbox"/> Other (specify) _____	<input type="checkbox"/> LVL <input type="checkbox"/> USD <input type="checkbox"/> EUR <input type="checkbox"/> RUR <input type="checkbox"/> Other (specify) _____
Estimated monthly volume of transactions expected (EUR)		
Number of transactions per month		
Number of payments per month, in %	From private entities _____ From corporate entities _____	To private entities _____ To corporate entities _____
Transfers from/to card accounts, in % of average monthly volume of transactions		
Average monthly cash transactions, in %		
Average monthly volume of ATM cash withdrawals, in % of average monthly volume of transactions		

Please, explain your purpose of making cash transactions:

Estimated monthly account(s) balances expected (consolidated) (EUR)	
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**Which banking products and services do you currently require and will require in future?**

- Current account (payments, FX transactions) \_\_\_\_\_
- Payment cards (credit and debit cards) \_\_\_\_\_
- Trade finance \_\_\_\_\_
- Investment services \_\_\_\_\_
- Credit products \_\_\_\_\_
- Term deposits \_\_\_\_\_
- Safe deposit boxes \_\_\_\_\_
- Other (please, specify) \_\_\_\_\_

**Purpose of opening bank account with JSC "Rietumu Banka" in Latvia**

- A comprehensive array of services and service quality
- Rietumu Banka's reputation
- Overseas representative offices
- Business partners' references
- Other (specify) \_\_\_\_\_

**How do you plan to work with JSC "Rietumu Banka"?**

- In Rietumu head office
- Via Internet Banking
- Via Home Banking
- Via fax / phone

**Are you or your close relatives classified as politically exposed persons? \*\***

- No
- Yes (provide a detailed answer) \_\_\_\_\_

**Additional information** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**I hereby confirm that I am the actual beneficiary of the funds in all my accounts and transactions. In case of account opening and/or account transactions with funds whose actual beneficial owners are third parties, I undertake to immediately inform the Bank about these beneficial owners by submitting "Beneficial Owner Identification Card" to the Bank.**

**Herein I present my specimen signature, which I request to consider obligatory for all my transactions with JSC "Rietumu Banka".**

NB! Your specimen signature shall stay within the lines.

X

Signature

**Customer** \_\_\_\_\_  
(name, surname)

**Signature**   X   \_\_\_\_\_

**FOR BANK USE ONLY**

I confirm the identity and signature of the Customer or the Customer's authorised representative.

The Bank's officer \_\_\_\_\_ (name, surname) \_\_\_\_\_ (signature) \_\_\_\_/\_\_\_\_/20\_\_\_\_

Documents examined by \_\_\_\_\_ (name, surname) \_\_\_\_\_ (signature) \_\_\_\_/\_\_\_\_/20\_\_\_\_

Customer's CREF \_\_\_\_\_

**\*\* Politically exposed person (PEP)** is a private entity, who: a) in any other EU-country or a third world country occupies any of the following positions: Country President, Minister President, Minister, Deputy Minister or Deputy Vice Minister, Secretary of State, Member of Parliament, Supreme Court Judge, Constitutional Court Judge, Council or Board member of a supreme auditing organisation, Council or Board member of Central Bank, Ambassador, charge d'affaires, highest ranking military officer, Council or Board member of a government-owned enterprise, as well as all entities, who have recently (within one year) resigned from the aforementioned positions; b) is a parent, spouse or an equated entity; a child, its spouse or an equated entity, mentioned in clause a) of this definition; an entity equated to PEP's spouse is only the entity, whose status and rights are defined by a particular country's Law; c) is known as having business relationships with any of PEPs mentioned in clause a) of this definition, or which owns a capital of a commercial enterprise in partnership with PEP, as well as a private entity, which is the only owner of a corporate entity known to be established in a benefit of PEP.