

CUSTOMER REGISTRATION CARD
PRIVATE ENTITY

___/___/20___

Complete in BLOCK CAPITALS

Approved by Board of JSC "Rietumu Banka", Minutes No. 7, February 20, 2009

Name _____ Surname _____

Passport No./ ID card No. _____ ID No. _____
(residents of Latvia only)

Correspondence address _____
(if differs from residential address)

Phone _____ E-mail _____

Fax Number _____ www _____

Employment details (specify type of employment)

- Salaried employee
 - Commercial enterprise _____ (employer, position)
 - State institution _____ (employer, position)
 - Non-governmental organisation _____ (employer, position)

Employer _____ (company name, capital share, number of salaried employees)

Self-employed* _____ (employment type)

Other* _____ (employment type)

Tax payer's code No Yes (specify) _____

Does the aforementioned employment type relate to Latvia? No Yes (explain) _____

Please, specify sources of your funds:

- Salary
- Royalties / rewards
- Interest receivable and dividends
- Income received as a result of: Capital share (stocks) sale Private property sale Inheritances / gifts
- Rent
- Other (specify in detail)

* Please, fill in "Additional information" field by providing full details of your employment type, including requirement of license to undertake this type of employment

Description of current account(s) transactions required

	Credit transactions (+)	Debit transactions (-)
Payment types	<input type="checkbox"/> Salary <input type="checkbox"/> Royalties / rewards <input type="checkbox"/> Loans <input type="checkbox"/> Interest receivable and dividends <input type="checkbox"/> Other (specify) _____ _____	<input type="checkbox"/> Payments for goods and services <input type="checkbox"/> Investments <input type="checkbox"/> Loans <input type="checkbox"/> State bills <input type="checkbox"/> Utility bills <input type="checkbox"/> Other (specify) _____ _____
Transaction currencies	<input type="checkbox"/> LVL <input type="checkbox"/> USD <input type="checkbox"/> EUR <input type="checkbox"/> RUR <input type="checkbox"/> Other (specify) _____	<input type="checkbox"/> LVL <input type="checkbox"/> USD <input type="checkbox"/> EUR <input type="checkbox"/> RUR <input type="checkbox"/> Other (specify) _____
Estimated monthly volume of transactions expected (EUR)		
Number of transactions per month		
Number of payments per month, in %	From private entities _____ From corporate entities _____	To private entities _____ To corporate entities _____
Transfers from/to card accounts, in % of average monthly volume of transactions		
Average monthly cash transactions, in %		
Average monthly volume of ATM cash withdrawals, in % of average monthly volume of transactions		

Please, explain your purpose of making cash transactions:

Estimated monthly account(s) balances expected (consolidated) (EUR)	
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Which banking products and services do you currently require and will require in future?

- Current account (payments, FX transactions) _____
- Payment cards (credit and debit cards) _____
- Trade finance _____
- Investment services _____
- Credit products _____
- Term deposits _____
- Safe deposit boxes _____
- Other (please, specify) _____

Purpose of opening bank account with JSC "Rietumu Banka" in Latvia

- A comprehensive array of services and service quality
- Rietumu Banka's reputation
- Overseas representative offices
- Business partners' references
- Other (specify) _____

How do you plan to work with JSC "Rietumu Banka"?

- At Rietumu Banka's branches
- Via Internet Banking
- Via Home Banking
- Via fax / phone

Are you or your close relatives classified as politically exposed persons? **

- No
- Yes (provide a detailed answer) _____

Additional information _____

I hereby confirm that I am the actual beneficiary of the funds in all my accounts and transactions. In case of account opening and/or account transactions with funds whose actual beneficial owners are third parties, I undertake to immediately inform the Bank about these beneficial owners by submitting "Beneficial Owner Identification Card" to the Bank.

Herein I present my specimen signature, which I request to consider obligatory for all my transactions with JSC "Rietumu Banka".

NB! Your specimen signature shall stay within the lines.

X

Signature

Customer _____
(name, surname)

Signature X _____

FOR BANK USE ONLY

I confirm the identity and signature of the Customer or the Customer's authorised representative.

The Bank's officer _____ (name, surname) _____ (signature) ____/____/20____

Documents examined by _____ (name, surname) _____ (signature) ____/____/20____

Customer's CREF _____

**** Politically exposed person (PEP)** is a private entity, who: a) in any other EU-country or a third world country occupies any of the following positions: Country President, Minister President, Minister, Deputy Minister or Deputy Vice Minister, Secretary of State, Member of Parliament, Supreme Court Judge, Constitutional Court Judge, Council or Board member of a supreme auditing organisation, Council or Board member of Central Bank, Ambassador, charge d'affaires, highest ranking military officer, Council or Board member of a government-owned enterprise, as well as all entities, who have recently (within one year) resigned from the aforementioned positions; b) is a parent, spouse or an equated entity, a child, its spouse or an equated entity, mentioned in clause a) of this definition; an entity equated to PEP's spouse is only the entity, whose status and rights are defined by a particular country's Law; c) is known as having business relationships with any of PEPs mentioned in clause a) of this definition, or which owns a capital of a commercial enterprise in partnership with PEP, as well as a private entity, which is the only owner of a corporate entity known to be established in a benefit of PEP.